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RECOMMENDED DISPOSITION

Based on the foregoing facts, the punitive measures taken against Respondent by the college and Respondent's remorse, the Hearing Panel Subcommittee recommends the dismissal of this matter without sanctions.

-	
,	Timothy L. Sweency, Chairperser
	limothy L. Sweency, Chairperser
	Hearing Panel Subcommittee

Date:

Cheryl L. Connelly, Esquire Hearing Panel Subcommittee

Date

Glenn A. Walker, CPA
Hearing Panel Subcommittee

Date:

FILE COPY

DO NOT REMOVE THE HEARING PANEL SUBCOMMIT FROM FILE STATE OF WEST VIRGINIA

RORY L. PERRY II, CLERK SUPREME COURT OF APPEALS OF WEST VIRGINIA

In Re:

GERALD B. HOUGH, a member of The West Virginia State Bar Bar No.: 7724

Supreme Court No.: 28794

I.D. No.: 99-02-303

REPORT OF THE HEARING PANEL SUBCOMMITTEE – RECOMMENDATION TO GRANT DISCIPLINARY COUNSEL'S MOTION TO DISMISS

On July 31, 2003, the Office of Disciplinary Counsel, by counsel, Lawrence J. Lewis, presented to the Hearing Panel Subcommittee a motion to dismiss the above-named case. The Hearing Panel Subcommittee, having considered the complete record, submits the following Findings of Fact and Recommended Disposition:

FINDINGS OF FACT

- A Statement of Charges was filed with the West Virginia Supreme Court of Appeals against Respondent Gerald B. Hough on May 18, 2000.
- On or about May 1, 2001, the Office of Disciplinary Counsel and Respondent entered into "Stipulations of Fact and Joint Recommendation of the Office of Disciplinary Counsel and Respondent".
- 3. In the stipulations Respondent admitted to the charges, but in light of Respondent's inexperience, Disciplinary Counsel recommended that no sanction be imposed, that

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- 6. By Order dated July 5, 2001, the Supreme Court declined to adopt the Hearing Panel's recommendation and remanded the case back to the Hearing Panel Subcommittee for compliance with Rule 3.15 of the Rules of Lawyer Disciplinary Procedure.
- 7. Respondent was on the faculty at Glenville State College at the time of the incident complained of in the Statement of Charges. As a result of his actions, he was disciplined by the President of the College, received a written reprimand in his personnel file, and did not receive a pay raise received by other employees of the College. Moreover, at the time of the incident, Respondent had recently been admitted to the Bar, was a sole practitioner without a more experienced lawyer to assist him, and has conceded his actions were wrong.
- 8. In light of the foregoing mitigating circumstances, the Office of Disciplinary Counsel recommends that the Hearing Panel Subcommittee recommend dismissal of this matter without sanctions.

OFFICE OF DISCIPLINARY COUNSEL By Counsel

Lawrence J. Lewis Bar No. 2199]

Chief Lawyer Disciplinary Counsel

2008 Kanawha Boulevard East

Charleston, West Virginia 25311

(304) 558-7999

(304) 558-4015 [facsimile]

CERTIFICATE OF SERVICE

This is to certify that I, Lawrence J. Lewis, Chief Lawyer Disciplinary Counsel for the Office of Disciplinary Counsel, have this day, the 31st day of July, 2003, served a true copy of the foregoing "Office of Disciplinary Counsel's Motion to Dismiss" upon James W. Douglas, counsel for Respondent Gerald B. Hough, by mailing the same, United States Mail with sufficient postage, to the following address:

James W. Douglas, Esquire 181B Main Street Sutton, West Virginia 26601

And upon the Hearing Panel Subcommittee at the following addresses:

Timothy L. Sweeney, Esquire - Chair Post Office Box 340 St. Marys, West Virginia 26170

Cheryl L. Connelly, Esquire Post Office Box 1835 Huntington, West Virginia 25719

Mr. Glenn A. Walker, CPA Post Office Box 1646 Morgantown, WV 26507

Lawrence J. Lewis

FILE COPY

DO NOT REMOVE LAWYER DISCIPLINARY BOARD

FROM FILE STATE OF WEST VIRGINIA

BEFORE THE HEARING PANEL SUBCOMMITTERES

LAWYER DISCIPLINARY BOARD

STATE OF WEST VIRGINIA

Inter-

RORY L. PERRY II, CLERK SUPREME COURT OF APPEAL OF WEST VIRGINIA

In Re:

GERALD B. HOUGH, a member of

The West Virginia State Bar

Bar No.: 7724 Supreme Court No.: 28794

LD. No.: 99-02-303

OFFICE OF DISCIPLINARY COUNSEL'S MOTION TO DISMISS

NOW COMES the Office of Disciplinary Counsel, by counsel, Lawrence J. Lewis, and submits the following:

- A Statement of Charges was filed with the West Virginia Supreme Court of Appeals
 against Respondent Gerald B. Hough on May 18, 2000.
- 2. On or about May 1, 2001, the Office of Disciplinary Counsel and Respondent entered into "Stipulations of Fact and Joint Recommendation of the Office of Disciplinary Counsel and Respondent".
- 3. In the stipulations Respondent admitted to the charges, but in light of Respondent's inexperience, Disciplinary Counsel recommended that no sanction be imposed, that Respondent be cautioned for his conduct and pay \$400.00 in costs towards the total cost of the disciplinary proceeding.
- 4. On May 7, 2001, a hearing was held in this matter, and the stipulations were presented to the Hearing Panel Subcommittee for its consideration.
- On or about May 30, 2001, the "Recommendation of the Hearing Panel Subcommittee to the Supreme Court of Appeals", which adopted the stipulations of the parties, was forwarded to the West Virginia Supreme Court of Appeals.

A0012790.WPD

BEFORE THE HEARING PANEL SUBCOMMITTEE OF THE LAWYER DISCIPLINARY BOARD

DO NOT REMOVE FROM FILE

Re: Gerald Hough, a member of

The West Virginia State Bar

I.D. No.: 99-02-303 Sup. Ct. No.: 28794

NOTICE OF TELEPHONIC HEARING

YOU ARE HEREBY notified that at 3:30 p.m. on Wednesday, February 21, 2001, a telephonic hearing on the "Office of Disciplinary Counsel's Motion to Depose Gerald Hough" will be held. The Office of Lawyer Disciplinary Counsel will initiate the conference call. Timothy L. Sweeney, Chairperson of the Hearing Panel Subcommittee, will preside over this matter.

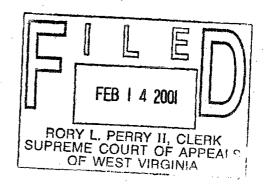
Amie L. Johnson [Bar No. 6623] Lawyer Disciplinary Counsel 900 Lee Street East, Suite 1710

Charleston, West Virginia 25301

(304) 558-7999

(304) 558-4015 [facsimile]

OFFICE OF DISCIPLINARY COUNSEL By Counsel



document filed with the Circuit Clerk.

- 13. Sometime in the year 2000, Respondent was disciplined by the President of Glenville State College for obtaining the transcript and using it in the criminal case. Respondent received a written reprimand in his personnel file and did not receive a pay raise received by employees of the College.
- 14. Respondent admits that it was wrong for him to have acted as he did in obtaining and using the transcript. With the benefit of more experience as a lawyer, he recognizes that if he believed the transcript was needed he should have obtained a subpoena or used some other proper legal process.

RECOMMENDATION FOR DISPOSITION

In light of Respondent's very recent admission to the Bar at the time of these events, that in the fall of 1998 he did not have a more experienced lawyer to assist him on the case, that he is admitting that his actions were wrong, and he has received a punishment from the College, the Hearing Panel Subcommittee recommends that no sanction be imposed by the West Virginia Supreme Court. *Compare*, <u>Lawyer Disciplinary Board v. Jarrell</u>, 206 W. Va. 236, 523 S.E.2d 552 (1999) (Court determined that in light of the circumstances as a whole, a sanction was not appropriate).

Instead, the Supreme Court should caution Respondent to make sure that his methods of obtaining information in the future are in compliance with the Rules of Professional Conduct. A caution is not discipline.

Respondent also agreed, and should be ordered by the Court to, pay \$400 toward the Lawyer Disciplinary Board's costs in this matter.

- Wiseman].¹ Both Mr. Perez and Ms. Phillips were students at Glenville State College.
- 3. Respondent was retained to represent Mr. Perez sometime around the date of the indictment.
- 4. Other than a few matters Respondent had handled as fiduciary commissioner since his recent admission to the Bar, this was Respondent's first case or was one of his first cases. At this time, during the fall of 1998, Respondent was a solo practitioner with no staff and with no experienced lawyer to provide guidance.
- On November 13, 1998, Respondent telephoned the Glenville State College Registrar's Office and requested that Anita Phillip's transcript be sent to him. This is the transcript which contains the list of courses she has taken and the grades she has received.
- 6. The employee at Glenville State College who received this request, Denise Ellyson, entered into her computer that the transcript was issued to "advisor Hough." Ms. Ellyson would testify that Respondent's words were asking for the "advising transcript." Respondent would testify that he did not use the word "advising" when making the transcript request, and that he would not ordinarily have phrased it that way. Ms. Ellyson sent Mr. Hough the transcript.
- It is undisputed that Respondent did not tell Ms. Ellyson that the transcript was to be used for a pending criminal case.
- 8. Respondent was not Ms. Phillip's advisor, although in the past she had inquired

¹ The indictment was later dismissed by a special prosecutor, and a second grand jury returned a no true bill. Ms. Wiseman did not testify for the second grand jury.

DISCIPLINARY COUNSEL:

Amie L. Johnson [Bar No. 6623]
Office of Disciplinary Counsel
900 Lee Street East, Suite 1710
Charleston, West Virginia 25301
(304) 558-7999
FAX (304) 568-4015

COUNSEL FOR RESPONDENT

James Wilson Deuglas [Bar No. 1050]

181B Main Street

Sutton, West Virginia 26601

(304) 765-2821

FAX (304) 765-2821

RESPONDENT:

Gerald B. Hough [Bar No. 7/24]

Seven N. Court St. Glenville, WV 26351 (304) 462-7007

FAX (304) 482-7010

Respondent received a written reprimand in his personnel file and did not receive a pay raise received by employees of the College.

14. Respondent admits that it was wrong for him to have acted as he did in obtaining and using the transcript. With the benefit of more experience as a lawyer, he recognizes that if he believed the transcript was needed he should have obtained a subpoena or used some other proper legal process.

RECOMMENDATION FOR DISPOSITION

In light of Respondent's very recent admission to the Bar at the time of these events, that in the fall of 1998 he did not have a more experienced lawyer to assist him on the case, that he is admitting that his actions were wrong, and he has received a punishment from the College, the Office of Disolplinary Counsel and Respondent recommend that no sanction be imposed by the West Virginia Supreme Court. *Compare*, <u>Lawyer Disciplinary Board v. Jarrel</u>l, 206 W. Va. 236, 523 S.E.2d 552 (1999) (Court determined that in light of the circumstances as a whole, a sanction was not appropriate).

Instead, the Supreme Court should caution Respondent to make sure that his methods of obtaining information in the future are in compliance with the Rules of Professional Conduct. A caution is not discipline.

Respondent also agrees, and should be ordered by the Court to, pay \$400 toward the Lawyer Disciplinary Board's costs in this matter.

FURTHER STIPULATIONS

The Respondent recognizes that the Hearing Panel Subcommittee has the discretion to accept these stipulations of fact but to recommend an outcome different than that recommended above, or may reject the stipulations in whole or in part and may

- Respondent was retained to represent Mr. Perez sometime around the date of the indictment.
- 4. Other than a few matters Respondent had handled as fiduciary commissioner since his recent admission to the Bar, this was Respondent's first case or was one of his first cases. At this time, during the fall of 1998, Respondent was a solo practitioner with no staff and with no experienced lawyer to provide guidance.
- On November 13, 1998, Respondent telephoned the Glenville State College Registrar's Office and requested that Anita Phillip's transcript be sent to him. This is the transcript which contains the list of courses she has taken and the grades she has received.
- The employee at Glenville State College who received this request, Denise Eliyson, entered into her computer that the transcript was issued to "advisor Hough." Ms. Eliyson would testify that Respondent's words were asking for the "advising transcript." Respondent would testify that/did not use the word "advising" when making the transcript request, and that he would not ordinarily have phrased it that way. Ms. Eliyson sent Mr. Hough the transcript.
- It is undisputed that Respondent did not tell Ms. Ellyson that the transcript was to be used for a pending criminal case.
- 8. Respondent was not Ms. Phillip's advisor, although in the past she had inquired about possibly joining a paralegal program he ran.
- Respondent's principal purpose in obtaining the transcript was to defend his client
 Wilkie Perez in the criminal case.

STATE OF WEST VIRGINIA, to-wit:

I, ROBIN GOFF, do hereby certify that the foregoing transcript was duly transcribed by me for the purpose specified in the caption hereof.

I further certify that I am neither attorney or counsel for, nor related to or employed by, any of the parties to the action in which this action is taken, and further that I am not a relative or employee of any attorney or counsel employed by the parties or financially interested in the action.

Given under my hand this 3rd day of May, 2001.

Typist Kobirdoff

1	Board have an opportunity to deliberate and discuss
2	this matter. Unless there might be some request for it,
3	I could just maybe call somebody back and let you know
4	what's going on or what we think of it. Does that
5	sound like a reasonable procedure?
6	MR. DOUGLAS: Yes. Do you want the two
7	lawyers to hang up and they you all are going to stay
8	on this line?
9	CHAIRPERSON SWEENEY: Pretty much. Those are
10	my thoughts. That sound okay with you, Cheryl?
11	MS. CONNELLY: Yes.
12	CHAIRPERSON SWEENEY: Glen, does that sound
13	like a plan?
14	MR. WALKER: Yes. It's a plan.
15	CHAIRPERSON SWEENEY: Okay. All right.
16	Well, let's do that and when we get done, we can hang
17	up and I'll probably just It would be all right,
18	Jim? Do you have any objection if I just call Amie
19	back and fill her in and then we can take it from
20	there?
21	MR. DOUGLAS: Absolutely not, Mr. Sweeney.
22	mean, I know this might lead into an extended
23	diagnation. Do you have any idea about how long this

1	involves. I mean, stealing from somebody's trust
2	account with 30 days out of law school as opposed to
3	having a mistake of this nature, I don't think that is
4	comparable in any way, shape or form.
5	CHAIRPERSON SWEENEY: Okay.
6	MS. CONNELLY: Amie, what's your thought on
7	that?
8	MS. JOHNSON: I don't know.
9	CHAIRPERSON SWEENEY: Because we might be in
10	a position to have to justify it, at some point in
11	time, when the Supreme Court looks at it. That's just
12	a point of curiosity I raised.
13	MS. JOHNSON: Just my personal opinion is
14	that the Supreme Court probably would not issue a very
15	severe sanction in this instance, in light of what he
16	did. Certainly if he'd embezzled or something like
17	that Hopefully this is more of a remedial nature.
18	Mr. Hough will be cautioned and I hope, you know, he's
19	taken something away from this and I believe that the
20	court would approve of remedial theory behind this.
21	CHAIRPERSON SWEENEY: Okay.
22	MR. DOUGLAS: I tend to agree with that. I
23	mean, I think sanctions don't necessarily have to be

1	transcript. That's not in our stipulations because Mr.
2	Douglas and I disagree somewhat on these points. You
3	know, if we did go to a hearing, my witnesses would
4	disagree and would say that it was not proper, at that
5	time, to get the transcript for this purpose.
6	I also want to put on the record that even if
7	the Registrar's Office did not have strict policies and
8	it was sort of loose, that still wouldn't justify the
9	actions taken by a lawyer. And that this method of
10	obtaining information did violate and was not the
11	proper way to do it.
12	The reason that I've agreed to this outcome
13	and it is the second part to Mr. Douglas's argument,
14	that the mitigating factors here just don't justify a
15	sanction, I don't believe.
16	CHAIRPERSON SWEENEY: Okay. Are we, do you
17	think, headed down the road that relatively every green
18	lawyer is entitled to one free violation?
19	MR. DOUGLAS: I don't think so.
20	MS. JOHNSON: No. No.
21	CHAIRPERSON SWEENEY: Sort of like we've did
22	that with Gerald and now we're using Gerald to do it
23	here. I'm just curious. If there really was a

1	conscious of the privacy rights of the third party. At
2	least it can arguably be said to the public at large.
3	Moreover, Mr. Hough was submitted I think
4	you remember, Mr. Sweeney, we initially opposed a
5	deposition and then we reversed our position and agreed
6	to do the deposition and he has, in essence, if I can
7	just paraphrase it, he admitted, "Hey, I was green as
8	grass. In those days, I didn't know a whole lot.
9	Given the circumstances as it were then and transpose
10	them to now, I wouldn't do that again. I just filed
11	up." There wasn't any malevolence here or anything of
12	that nature.
13	So we tend to think that all things
14	considered that the recommended disposition here would
15	be the appropriate one, including the fact that we
16	contributed radically up to \$400 on the expenses
17	incurred by the Office of Disciplinary Counsel.
18	CHAIRPERSON SWEENEY: What are those expenses
19	at the present time, Ms. Johnson?
20	MS. JOHNSON: They are more than \$400 but I
21	don't have the final figure yet because I don't have
22	the transcript from Mr. Hough's deposition. It's
23	probably going to be \$700 - \$800 range. It's about

1	There's no evidence to indicate anywhere that his
	incic b no evidence to indicate anywhere that his
2	principle or substantial purpose in obtaining these
3	transcripts was to embarrass, delay or burden a third
4	person. And the evidence, or what would be reported to
5	be evidence, being the transcripts, were used to
6	violate the legal rights of such person. That would be
7	of a more gray area but still I would tend to think
8	there would be some motivation there that the
9	substantial purpose was to violate the right of a third
10	person.
11	I realize this is all subject to argument and
12	subject to interpretation but that would have been our

subject to interpretation but that would have been our approach.

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The second part of why I think this is an appropriate disposition, has to do with a lot of factors that were mentioned by Ms. Johnson. One is that my client had been admitted by West Virginia State Bar precisely one month before this occurred. He was admitted on October 13, 1998. This event happened November 13, 1998.

The other thing is that he lives and works in Gilmer County, and although you'll have to realize we're speaking in relative terms here because I'm in

MR. DOUGLAS: Yes. I believe it is, with two facets with two of what I'll call sub-headings. One is the underlying merits of the action. I think that my client would testify and, in fact, did testify at a deposition on April 25, 2001 that at the time that this event occurred being November 13, 1998, that there was no defined policy or at least policing of policies or the enforcement of policies, with respect to uses for which a transcript could be made that were not blatantly inappropriate.

In another words, obviously I think everybody in the academic community, his testimony would be would recognize that you don't get transcript of a student at Glenville State College, even in 1998, and publish them in their local newspaper. But if you would have used them for another purpose which is more or less inhouse or you had access to them for purposes of, what he considered to be substantial justice in a criminal case, there was no clear set policy on that. If there were, it was not enforced and certainly with the requests of the transcript, all the protocols were not observed at that time.

Also it would be our position, too, that

1	with your client, it's true, is it not, that this
2	matter could be considered, in the event of future
3	complaints regarding Mr. Hough, as a past conduct or
4	almost analogous to enhancement or prior events
5	penalties that might result from certain criminal
6	matters.
7	MR. DOUGLAS: I think what you're referring
8	to is pattern of practice allegation.
9	CHAIRPERSON SWEENEY: Correct.
10	MR. DOUGLAS: I would tend to think and may

MR. DOUGLAS: I would tend to think and maybe I stand to be corrected by all four of you, but my understanding of that has been there has to be an anastate in finding of some type of discipline except for in a case of like nature. In another words, this situation happened again or something very close to it factually, that it could form a basis for pattern of practice. But since a caution is what this is, basically is not discipline, I would not think it would be an enhancing factor. Now, maybe I'm wrong on that but that's the way I see it. Am I in error on that?

CHAIRPERSON SWEENEY: In addition to the pattern of practice, Ms. Johnson, are there times when prior complaints, even though they might not be related

State College. He obtained a transcript for the alleged victim in the criminal case where he was defending the defendant. He used the transcript in the course of the case, or attempted to use it the course of the case. Later the case was dismissed.

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Mr. Hough is admitting that the actions he took in getting the transcript and using them were wrong, but in light of the mitigating factors that he was a new lawyer, he was sanctioned by the college for what he did. He did not have another lawyer more experienced who could have helped him at this time. In light of the conduct, this is the first complaint he's ever received and the only complaint he's ever received.

We recommend that he not be formally disciplined, although there is a finding that he was wrong. Instead he should be cautioned that in the future, his methods of obtaining information should comply with the Rules of Professional Conduct. In light of his first offense, I think that that would be an appropriate outcome.

CHAIRPERSON SWEENEY: Now, Ms. Johnson, of course, and Jim, you might want to address this along

1	CHAIRPERSON SWEENEY: We're here today
2	telephonically for a hearing with regard to the matter
.∙3	of Gerald Hough, I.D. No. 99-02-303, Supreme Court No.
4	28794. It's the Hearing Panel's understanding that
5	there are certain stipulations of fact and joint
6	recommendations of the Office of Disciplinary Counsel
7	and respondent which have been entered into and the
8	parties anticipate would be tendered and filed in these
9	proceedings; is that correct?
10	MS. JOHNSON: Yes, Sir.
11	MR. DOUGLAS: That is correct so far with Mr.
12	Hough.
13	CHAIRPERSON SWEENEY: And let the record
14	reflect that the Board Members have received faxed
15	copies of those proposals herefor and prior, I think,
16	to Mr. Walker's signing back on in these proceedings,
L7	there was an interlineation made on paragraph 6, on
18	page 2 of those stipulations, line 4. It says that the
L9	second sentence in that paragraph would read,
20	"Respondent would testify that he did not use the
21	advising, etc."
22	All right. And furthermore, that the
23	stipulations of fact are submitted in part to develop a

 $\langle \hat{\ } \rangle$

APPEARANCES

On behalf of the Office of Disciplinary Counsel:

AMIE JOHNSON, ESQUIRE Lawyer Disciplinary Counsel Suite 1710, Huntington Bank Building Charleston, West Virginia 25301

On behalf of the Respondent:

JAMES W. DOUGLAS, ESQUIRE 181 B. Main Street Sutton, West Virginia 26601

Also present:

JACKIE SCHULTZ, Recorder

Certificate

BEFORE THE HEARING PANEL SUBCOMMITTEE STATE OF WEST VIRGINIA

IN RE:

GERALD HOUGH, a member of

The West Virginia State Bar

I.D. No.: 99-02-303 Supreme Court No. :28794

CERTIFICATE OF SERVICE

This is to certify that I, Amie L. Johnson, Disciplinary Counsel for the Office of Disciplinary Counsel, have this day, the 26th day of April, 2001, served a true copy of the foregoing "DISCIPLINARY COUNSEL'S RESPONSE TO MOTION TO DISMISS" upon members of the Hearing Panel Subcommittee and upon James W. Douglas, counsel for Respondent, Gerald Hough, by mailing the same via United States Mail, with sufficient postage, to the following address:

James W. Douglas, Esquire 181-B Main Street Sutton, West Virginia 26601

Cheryl L. Connelly, Esquire Post Office Box 1835 Huntington, West Virginia 25719 Timothy L. Sweeney, Esquire Post Office Box 340 St. Marys, West Virginia 26170

Glenn A. Walker, CPA Post Office Box 1646 Morgantown, West Virginia 26507

Amie L. Johnson [Bar No. 6623]

FILE COPY

DEFORE THE HEARING PANEL SUBCOMMITTEE OF THE LAWYER DISCIPLINARY BOARD STATE OF WEST VIRGINIA

DO NOT REMOVE FROM FILE

IN RE:

GERALD HOUGH, a member of

The West Virginia State Bar

I.D. No.: 99-02-303

Supreme Court No.: 28794

DISCIPLINARY COUNSEL'S RESPONSE TO MOTION TO DISMISS

Respondent Hough has been charged with violating Rules 4.1(a), 4.4, and 8.4(c) of the Rules of Professional Conduct. Respondent has moved to dismiss the allegations of violating one of these rules, specifically, Rule 4.4. Rule 4.4 provides:

Rule 4.4. Respect for rights of third persons.

In representing a client, a lawyer shall not use means that have no substantial purpose other than to embarrass, delay or burden a third person, or use methods of obtaining evidence that violate the legal rights of such a person.

Respondent was charged with violating this rule because he obtained the Complainant Anita Wiseman's confidential grades transcript from her college and attempted to use it for the purpose of representing a criminal defense client whom she accused of sexual assault. Respondent obtained this transcript in such a manner which lead college officials to believe he was Complainant's academic advisor at the college and that the transcript would be used for advising purposes.

Respondent argues that Respondent did not violate this rule because the Complainant Anita Wiseman testified in her deposition that she believed Respondent's purpose in obtaining the transcript to help his client Wilkie Perez and not to embarrass or burden her. Respondent's Motion to Dismiss fails.

STATE OF WEST VIRGINIA, to-wit:

I, ROBIN GOFF, do hereby certify that the foregoing transcript was duly transcribed by me for the purpose specified in the caption hereof.

I further certify that I am neither attorney or counsel for, nor related to or employed by, any of the parties to the action in which this action is taken, and further that I am not a relative or employee of any attorney or counsel employed by the parties or financially interested in the action.

Given under my hand this 12th day of April, 2001.

Typist Typist

there and you watch me. I'm going to read it now. I can't see too close anymore but I hope I can make it out. "The respondent's sole purpose for obtaining complainants college transcript was to aid in the defense of the aforesaid criminal action of which the plaintiff was the victim." That's your allegation?

A. Correct.

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- Q. All right. Do you believe that? Again, since you've verified this. That was his sole purpose for getting the transcript was to help Wilkie Perez out?
 - A. That's correct.
 - Q. No other purpose?
 - A. No. No other purpose.
- Q. Okay. Well, do you believe that he got this transcript for the purpose of embarrassing you or to just help Wilkie out?
 - A. Just to help Wilkie out.
 - Q. Not to embarrass you?
 - A. No.
- Q. All right. Do you believe that he got this transcript to delay you from doing something else or just to help Wilkie out?
 - A. Just to help Wilkie out.

CERTIFICATE OF SERVICE

I, JAMES WILSON DOUGLAS, the undersigned attorney do
hereby certify that a true copy of the foregoing Motion to Dismiss was deposited in
the regular United States Mail, in an envelope properly stamped and addressed to
the following:

Amie L. Johnson Office of Disciplinary Counsel Suite 1710, Huntington Bank Building 900 Lee Street, East Charleston, West Virginia 25301 Timothy L. Sweeny, Esquire P. O. Box 340 St. Marys, West Virginia 26170

Cheryl Connelly, Esq. P. O. Box 1835 Huntington, West Virginia 25719 Glen Walker, CPA
P. O. Box 1646
Morgantown, West Virginia 26507

on this 23rd day of April, 2001.

MES WILSON DOUGLA

FILE COPY

I.D. No. 99-02-303
Sup. Ct. No. 28794

In Re: GERALD B. HOUGH,

a Member of the West Virginia State BORY L. PERRY II, CLERK SUPREME COURT OF APPEALS OF WEST VIRGINIA

Comes now the Respondent, **GERALD B. HOUGH**, by his attorney, James Wilson Douglas, and moves this honorable hearing panel to dismiss the count alleging an infraction of Rule 4.4, RCP, as the same appears on Page 3., paragraph 17 of the December 9, 2000 Statement of Charges, and Respondent does assign as his grounds, in support thereof, the following:

- 1. That the Complainant, Anita Philips Wiseman, admitted in her deposition of April 4, 2001 that she did not believe that the Respondent (assuming, *arguendo*.) obtained her confidential academic records for no substantive purpose other than to embarrass, delay or burden her or otherwise obtain evidence in violation of her legal rights. See pages 91 and 92, attached hereto and made a part hereof by and for reference;
- 2. That no other witness or document heretofore disclosed, will substantiate the Rule 4.4 violation allegation; and,
- 3. For such other reasons as may appear upon the hearing of this Motion.

FILE COPY

BEFORE THE HEARING PANEL SUBCOMMITTEE OF THE LAWYER DISCIPLINARY BOARD STATE OF WEST VIRGINIA

DO NOT REMOVE FROM FILE

IN RE:

GERALD HOUGH, a member of

The West Virginia State Bar

I.D. No.: 99-02-303

Supreme Court No.:

28794

TO:

James W. Douglas, Esquire

181B Main Street

Sutton, West Virginia 26601

NOTICE OF DEPOSITION

PLEASE TAKE NOTICE that, pursuant to the West Virginia Rules of Civil Procedure and Rule 3.9 of the Rules of Lawyer Disciplinary Procedure, the undersigned will take the deposition of **Gerald Hough**, at the Law Office of James W. Douglas, 181B Main Street, Sutton, West Virginia. The deposition of Mr. Hough will begin at 9:00 a.m. on April 25, 2001, and will continue until completed. The deposition will be taken before a notary public and recorded by mechanical means pursuant to Rule 3.9 of the Rules of Lawyer Disciplinary Procedure.

Dated this 16th day of April, 2001.

Respectfully submitted,
Office of Disciplinary Counsel
By Counsel

Amie L. Johnson, WVSB No. 6623

Lawyer Disciplinary Counsel

Office of Lawyer Disciplinary Counsel

900 Lee Street, East, Suite 1710

Charleston, West Virginia 25301

(304) 558-7999

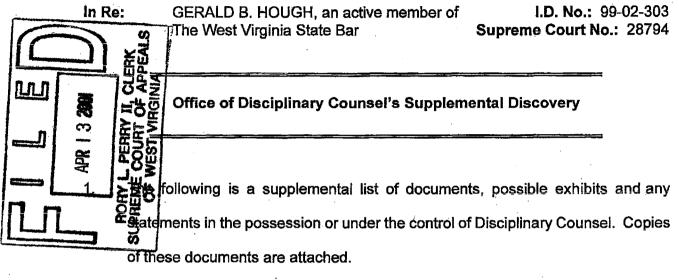
CERTIFICATE OF SERVICE

This is to certify that I, Amie L. Johnson, Disciplinary Counsel for the Office of Disciplinary Counsel, have this day, April 12, 2001, served a true copy of the foregoing "Office of Disciplinary Counsel's Supplemental Discovery", upon James W. Douglas, Esquire, Counsel for Respondent Gerald B. Hough, by mailing the same, United States Mail with sufficient postage, to the following address:

James W. Douglas, Esquire 181B Main Street Sutton, West Virginia 26601

Margan Suffish for Amie L. Johnson

BEFORE THE LAWYER DISCIPLINARY BOARD STATE OF WEST VIRGINIA



- A) Email from Gerry Hough to Denise Ellyson, Subject Anita Phillips Transcript, dated Wednesday, February 7, 2001.
- B) Letter to Professor Hough from Denise Ellyson, dated February 12, 2001.

 Disciplinary Counsel continues to reserve the right to supplement this exhibit list as discovery progresses and to use at the hearing any document in Respondent's disclosures.
- 2. At this time, Disciplinary Counsel continues not to anticipate using an expert witness at the hearing, however, Disciplinary Counsel reserves the right to disclose an expert witness as discovery progresses. Similarly, Disciplinary Counsel does not possess any results of any reports of physical or mental examinations or scientific tests or experiments. However, should Disciplinary Counsel obtain these they will be provided to Respondent.

BEFORE THE HEARING PANEL SUBCOMMITTEE OF THE LAWYER DISCIPLINARY BOARD/STATE OF WEST VIRGINIA

LAWYER DISCIPLINARY CASE SUBPOENA DUCES TECUM

IN RE: Gerald Hough, a member of The West Virginia State Bar I.D. No.: 99-02-303 Supreme Court No. 28794

TO: [If the list of the persons/entities subpoenaed is too numerous to fit in this area, type "See Attached List" and attach list, titled "Persons/Entities Subpoenaed."]

Denise Ellyson
Office of Records and Admissions
200 High Street
Gienville State College
Glenville, WV 26351

YOU ARE H	EREBY	COMMANDED	mark all that apply
-----------	-------	-----------	---------------------

x_to appear before the Hea	ring Panel Subcommittee of the Lawyer	Disciplinary Board at the place	, date and time specified below to
testify in the	taking of a deposition in the above-style	d case; or	-
x testify in a h	nearing in the above-styled case;		

to produce and permit inspection of and copying of designated books, documents or tangible things in your possession, custody or control, as follows: [If the number of items is too numerous to fit in this area, type "See Attached List- Production/Inspection" and attach list, titled "Production/Inspection".]

to permit inspection of premises located at place, date and time specified below.

Place of Appearance/Inspection:

Days Inn Conference Center Flatwoods, West Virginia

Date of Appearance/Inspection:

May 1, 2001

Time of Appearance/Inspection:

10:00 a.m

Issued by: Rory L. Perry, II

Title:

Clerk of the Supreme Court of Appeals

Signature:

Bar Identification No. if applicable: 4120

Date Issued:

4-10-2001

Please state the name and office address of the attorney requesting this subpoena:

Amie L. Johnson [Bar No. 6623] Lawyer Disciplinary Counsel Office of Disciplinary Counsel 900 Lee Street East, Suite 1710 Charleston, West Virginia 25301 (304) 558-7999

W. Va. R. Civ. P. 45(c). Place of the examination. A deponent may be required to attend an examination only in the county in which the deponent resides or is employed or transacts business in person, or at such other convenient place as is fixed by an order of the Court [Hearing Panel Subcommittee].

W. Va. R. Civ. P. 45(d). Protection of persons subject to subpoenas, - (1) A party or an attorney responsible for the issuance and service of a subpoena shall take reasonable steps to avoid imposing undue burden or expense on a person subject to that subpoena. The Court [Hearing Panel Subcommittee] on behalf of which the subpoena was issued may enforce this duty and impose upon the party or attorney in breach of this duty an appropriate sanction, which may include, but is not limited to, lost carnings, and a reasonable attorney's fee. (2)(A) A person commanded to produce and permit inspection and copying of designated books, papers, documents or tangible things, or inspection of premises need not appear in person at the place of production or inspection unless commanded to appear for deposition, hearing or trial. (B) Subject to paragraph (e)(2) of this rule, a person commanded to produce and permit inspection and copying may, within 14 days after service of the subpoena or before the time specified for compliance if such time is less than 14 days after service, serve upon the party or attorney designated in the subpoena written objection to inspection or copying of any or all of the designated materials or of the premises. If objection is made, the party serving the subpoena shall not be entitled to inspect and copy the materials or inspect the premises except pursuant to an order of the Court [Hearing Panel Subcommittee] by which the subpoena was issued. If objection has been made, the party serving the subpoena may, upon notice to the person commanded to produce, move at any time for an order to compel the production. Such an order to compel production shall protect any person who is not a party or an officer of a party from significant expense resulting from the inspection and copying commanded. (3)(A) On timely motion, the Court [Hearing Panel Subcommittee] by which a subpoena was issued shall quash or modify the subpoena if it (i) fails to allow reasonable time for compliance; (ii) requires a person to travel for a deposition to a place other than the county in which that person resides or is employed or transacts business in person or at a place fixed by order of the Court [Hearing Panel Subcommittee]; (iii) requires disclosure of privileged or other protected matter and no exception or waiver applies, or (iv) subjects a person to undue burden. (B) If a subpoena (i) requires disclosure of a trade secret or other confidential research development, or commercial information, or (ii) requires disclosure of an unretained expert's opinion or information not describing specific events or occurrences in dispute and resulting from the expert's study made not at the request of any party, the court may, to protect a person subject to or affected by the subpoena, quash or modify the subpoena or, if the party in whose behalf the subpoena is issued shows a substantial need for the testimony or material that cannot be otherwise met without undue hardship and assures that the person to whom the subpoena is addressed will be reasonably compensated, the Court [Hearing Panel Subcommittee] may order appearance or production only upon specified conditions.

W. Va. R. Civ. P. 45(e). Duties in responding to subpoena. - (1) A person responding to a subpoena to produce documents shall produce them as they are kept in the usual course of business or shall organize and label them to correspond with the categories in the demand. (2) When information subject to a subpoena is withheld on a claim that it is privileged or subject to protection as trial preparation materials, the claim shall be made expressly and shall be supported by a description of the nature of the documents, communications, or things not produced that is sufficient to enable the demanding party to contest the claim.

BEFORE THE HEARING PANEL SUBCOMMITTEE OF THE LAWYER DISCIPLINARY BOARD/STATE OF WEST VIRGINIA

LAWYER DISCIPLINARY CASE SUBPOENA DUCES TECUM

IN RE: Gerald Hough, a member of I.D. No.: 99-02-303 The West Virginia State Bar Supreme Court No. 28794 TO: If the list of the persons/entities subpoenaed is too numerous to fit in this area, type "See Attached List" and attach list, titled "Persons/Entities Subpoenaed.*] Shelly DeMarino, Esquire 1033 Lauren Drive Bridgeport, WV 26330 YOU ARE HEREBY COMMANDED [mark all that apply] x_to appear before the Hearing Panel Subcommittee of the Lawyer Disciplinary Board at the place, date and time specified below to testify in the taking of a deposition in the above-styled case; or x testify in a hearing in the above-styled case; to produce and permit inspection of and copying of designated books, documents or tangible things in your possession, custody or control, as follows: [If the number of items is too numerous to fit in this area, type "See Attached List- Production/Inspection" and attach list, titled "Production/Inspection".] to permit inspection of premises located at place, date and time specified below. Date of Appearance/Inspection: Place of Appearance/Inspection: May 1, 2001 Davs Inn Conference Center Flatwoods, West Virginia Time of Appearance/Inspection: 10:00 a.m Issued by: Rory L. Perry, II Please state the name and office address of the attorney requesting this subpocua: Title: Amie L. Johnson [Bar No. 6623] Lawyer Disciplinary Counsel Office of Disciplinary Counsel Signature: 900 Lee Street East, Suite 1710 Bar Identification No., if applicable: Charleston, West Virginia 25301

W. Va. R. Civ. P. 45(c). Place of the examination. A deponent may be required to attend an examination only in the county in which the deponent resides or is employed or transacts business in person, or at such other convenient place as is fixed by an order of the Court [Hearing Panel Subcommittee].

Date Issued: _ 4-10 - 2-00 /

(304) 558-7999

W. Va. R. Civ. P. 45(d). Protection of persons subject to subpoenas. (1) A party or an attorney responsible for the issuance and service of a subpoena shall take reasonable steps to avoid imposing undue burden or expense on a person subject to that subpoena. The Court [Hearing Panel Subcommittee] on behalf of which the subpoena was issued may enforce this duty and impose upon the party or attorney in breach of this duty an appropriate sanction, which may include, but is not limited to, lost earnings, and a reasonable attorney's fee. (2)(A) A person commanded to produce and permit inspection and copying of designated books, papers, documents or tangible things, or inspection of premises need not appear in person at the place of production or inspection unless commanded to appear for deposition, hearing or trial. (B) Subject to paragraph (e)(2) of this rule, a person commanded to produce and permit inspection and copying may, within 14 days after service of the subpoena or before the time specified for compliance if such time is less than 14 days after service, serve upon the party or attorney designated in the subpoena written objection to inspection or copying of any or all of the designated materials or of the premises. If objection is made, the party serving the subpoena shall not be entitled to inspect and copy the materials or inspect the premises except pursuant to an order of the Court [Hearing Panel Subcommittee] by which the subpoena was issued. If objection has been made, the party serving the subpoena may, upon notice to the person commanded to produce, move at any time for an order to compel the production. Such an order to compel production shall protect any person who is not a party or an officer of a party from significant expense resulting from the inspection and copying commanded. (3)(A) On timely motion, the Court [Hearing Panel Subcommittee] by which a subpoena was issued shall quash or modify the subpoena if it (i) fails to allow reasonable time for compliance; (ii) requires a person to travel for a deposition to a place other than the county in which that person resides or is employed or transacts business in person or at a place fixed by order of the Court [Hearing Panel Subcommittee], (iii) requires disclosure of privileged or other protected matter and no exception or waiver applies, or (iv) subjects a person to undue burden. (B) If a subpoena (i) requires disclosure of a trade secret or other confidential research development, or commercial information, or (ii) requires disclosure of an unretained expert's opinion or information not describing specific events or occurrences in dispute and resulting from the expert's study made not at the request of any party, the court may, to protect a person subject to or affected by the subpoena, quash or modify the subpoena or, if the party in whose behalf the subpoena is issued shows a substantial need for the testimony or material that cannot be otherwise met without undue hardship and assures that the person to whom the subpoena is addressed will be reasonably compensated, the Court [Hearing Panel Subcommittee] may order appearance or production only upon specified conditions

W. Va. R. Civ. P. 45(e). Duties in responding to subpoena. - (1) A person responding to a subpoena to produce documents shall produce them as they are kept in the usual course of business or shall organize and label them to correspond with the categories in the demand. (2) When information subject to a subpoena is withheld on a claim that it is privileged or subject to protection as trial preparation materials, the claim shall be made expressly and shall be supported by a description of the nature of the documents, communications, or things not produced that is sufficient to enable the demanding party to contest the claim.

BEFORE THE HEARING PANEL SUBCOMMITTEE OF THE LAWYER DISCIPLINARY BOARD/STATE OF WEST VIRGINIA

LAWYER DISCIPLINARY CASE SUBPOENA DUCES TECUM

IN RE: Gerald Hough, a member of The West Virginia State Bar

TO: [If the list of the persons/entities subpoenaed is too numerous to fit in this area, type "See Attached List" and attach list, titled "Persons/Entities Subpoenaed."]

Dr. Debbie Simon

Dr. Debbie Simon Vice President Glenville State College Glenville WV 26351	
YOU ARE HEREBY COMMANDED [mark all that apply]	
x to appear before the Hearing Panel Subcommittee of the Lawyer Disc testify in the taking of a deposition in the above-styled case x testify in a hearing in the above-styled case;	
	s, documents or tangible things in your possession, custody or control, as follows: hed List- Production/Inspection" and attach list, titled "Production/Inspection".]
to permit inspection of premises located at place, date and time specifie Place of Appearance/Inspection:	Date of Appearance/Inspection: May 1, 2001
Days Inn Conference Center Flatwoods, West Virginia	Time of Appearance/Inspection: 10:00 a.m
Issued by: Rory L. Perry, II	Please state the name and office address of the attorney
Title: Clerk of the Supreme Court of Appeals	requesting this subpoena: Amie L. Johnson [Bar No. 6623]
Signature: Nay Cox 1.	Lawyer Disciplinary Counsel Office of Disciplinary Counsel
Bar Identification No., if applicable: _4120	900 Lee Street East, Suite 1710 Charleston, West Virginia 25301

W. Va. R. Civ. P. 45(c). Place of the examination. - A deponent may be required to attend an examination only in the county in which the deponent resides or is employed or transacts business in person, or at such other convenient place as is fixed by an order of the Court [Hearing Panel Subcommittee]. W. Va. R. Civ. P. 45(d). Protection of persons subject to subpoenas.- (1) A party or an attorney responsible for the issuance and service of a subpoena shall take reasonable steps to avoid imposing undue burden or expense on a person subject to that subpoena. The Court [Hearing Panel Subcommittee] on behalf of which the subpoena was issued may enforce this duty and impose upon the party or attorney in breach of this duty an appropriate sanction, which may include, but is, not limited to, lost earnings, and a reasonable attorney's fee. (2)(A) A person commanded to produce and permit inspection and copying of designated books, papers, documents or tangible things, or inspection of premises need not appear in person at the place of production or inspection unless commanded to appear for deposition, hearing or trial. (B) Subject to paragraph (e)(2) of this rule, a person commanded to produce and permit inspection and copying may, within 14 days after service of the subpoena or before the time specified for compliance if such time is less than 14 days after service, serve upon the party or attorney designated in the subpoena written objection to inspection or copying of any or all of the designated materials or of the premises. If objection is made, the party serving the subpoena shall not be entitled to inspect and copy the materials or inspect the premises except pursuant to an order of the Court [Hearing Panel Subcommittee) by which the subpoena was issued. If objection has been made, the party serving the subpoena may, upon notice to the person commanded to produce, move at any time for an order to compel the production. Such an order to compel production shall protect any person who is not a party or an officer of a party from significant expense resulting from the inspection and copying commanded. (3)(A) On timely motion, the Court [Hearing Panel Subcommittee] by which a subpoena was issued shall quash or modify the subpoena if it (i) fails to allow reasonable time for compliance; (ii) requires a person to travel for a deposition to a place other than the county in which that person resides or is employed or transacts business in person or at a place fixed by order of the Court [Hearing Panel Subcommittee]; (iii) requires disclosure of privileged or other protected matter and no exception or waiver applies, or (iv) subjects a person to undue burden. (B) If a subpoena (i) requires disclosure of a trade secret or other confidential research development, or commercial information, or (ii) requires disclosure of an unretained expert's opinion or information not describing specific events or occurrences in dispute and resulting from the expert's study made not at the request of any party, the court may, to protect a person subject to or affected by the subpoena, quash or modify the subpoena or, if the party in whose behalf the subpoena is issued shows a substantial need for the testimony or material that cannot be otherwise met without undue hardship and assures that the person to whom the subpoena is addressed will be reasonably compensated, the Court [Hearing Panel Subcommittee] may order appearance or production only upon specified

W. Va. R. Civ. P. 45(e). Duties in responding to subpoena. - (1) A person responding to a subpoena to produce documents shall produce them as they are kept in the usual course of business or shall organize and label them to correspond with the categories in the demand. (2) When information subject to a subpoena is withheld on a claim that it is privileged or subject to protection as trial preparation materials, the claim shall be made expressly and shall be supported by a description of the nature of the documents, communications, or things not produced that is sufficient to enable the demanding party to contest the claim.

BEFORE THE LAWYER DISCIPLINARY BOARD STATE OF WEST VIRGINIA

I.D. No. 99-02-303 Sup. Ct. No. 28794

In Re: **GERALD B. HOUGH**, a Member of the West Virginia State Bar

CIVIL CASE SUBPOENA

TO: Anita Wiseman, Post Office Box 207, Montrose, West Virginia, 26283 YOU ARE HEREBY COMMANDED: X to appear at the place, date and time specified below to testify in the taking of a deposition in the above-styled case; Place of Appearance/Inspection Date of Appearance/Inspection Law Office of James Wilson Douglas Wednesday, March 14, 2001 181 B Main Street Time of Appearance/Inspection. Sutton, West Virginia 1:00 p.m. Issued by: James Wilson Douglas, L.C. Title: Attorney at Law Signature: Bar Identification: 1050 Date Issued: February 22, 2001

WVRCP 45(c). Place of the Examination - A deponent may be required to attend an examination only in the County in which the deponent resides or is employed or transacts business in person, or at such other convenient place as is fixed by an Order of Court.

WVRCP 45(d). Protection to persons subject to subposens - (1) A party or an attorney responsible for the susuance and service of a subposen shall take responsible starts to avoid under burden or expense one arrange subject to that subposens. The Court is subject to this subject to the su

take reasonable steps to avoid undue burden or expense on a person subject to that subpoena. The Court on behalf of which the subpoena was issued may enforce this duty and impose upon the party of attorney in breach of this duty an appropriate sanction, which may include, but is not limited to, lost earnings, and a reasonable attorney's fee. (2)(A) A person commanded to produce and permit inspection and copying of designated books, papers, documents and tangible things, and inspection of premises need not appear in person at the place of production or inspection unless commanded to appear for deposition, hearing or trial. (B) Subject to paragraph (e)(2) of this rule, a person commanded to produce and permit inspection and copying may, within fourteen days after service of the subpoena or before the time specified for compliance if such time is less than fourteen days after service.

CERTIFICATE OF SERVICE

I, JAMES WILSON DOUGLAS, the undersigned attorney, do hereby certify that a true copy of the foregoing Notice of Deposition was deposited in the regular United States Mail, in an envelope properly stamped and addressed to the following:

Office of the Clerk
Supreme Court of Appeals
1900 Kanawha Boulevard, East
State Capitol, Building 1, Room E-317
Charleston, West Virginia 25305

and

Amie L. Johnson
Office of Disciplinary Counsel
Suite 1710, Huntington Bank Building
900 Lee Street, East
Charleston, West Virginia 25301

and

Anita Wiseman Post Office Box 207 Montrose, West Virginia 26283

on this 22nd day of February, 2001.

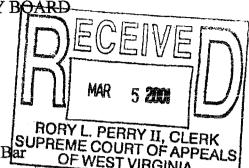
MES WILSON DOUGL

BEFORE THE LAWYER DISCIPLINARY BOARD

STATE OF WEST VIRGINIA

I.D. No. 99-02-303 Sup. Ct. No. 28794

In Re: GERALD B. HOUGH, a Member of the West Virginia State Bar



CERTIFICATE OF SERVICE

I, JAMES WILSON DOUGLAS, the undersigned attorney, do hereby certify that a true copy of the foregoing Reply to Discovery was deposited in the regular United States Mail, in an envelope properly stamped and addressed to the following:

Office of the Clerk
Supreme Court of Appeals
1900 Kanawha Boulevard, East
State Capitol, Building 1, Room E-317
Charleston, West Virginia 25305

and

Amie L. Johnson
Office of Disciplinary Counsel
Suite 1710, Huntington Bank Building
900 Lee Street, East
Charleston, West Virginia 25301

on this 2nd day of March, 2001.

AMES WILSON DOUGLAS

Respondent served as director of that new program, and it was Respondent's duty to advise students who were considering a transfer into that program by examining transcripts, high school-records, and other pertinent data for appropriate directions, individually tailored to the students' needs. It was the common, accepted practice to obtain the students' transcripts from the registrar's office.

Paragraph No.7: Admitted in part and denied in part. The most recent transcript obtained by Respondent was for the purpose of defending Respondent's client and for disqualifying the prosecutor from this cause of action. The registrar's office did not ask my purpose for its use; I did not represent myself as her advisor either. I merely asked for a student's transcript - the most recent transcript of Complainant, who made serious allegations against an innocent male student. Other items were obtained from college employees in the same fashion. I did contact Complainant's professors, Dr. Will Oliver and Dr. Lori Kepford, in the same fashion, to obtain syllabi of the courses taken by Complainant, any observations they wished to offer about her character, class performance, etc. The Registrar's Office, Professors, and Complainant's friends were never mislead by me in my efforts to investigate the accuser of my client (Complainant).

Paragraph No. 8: Neither admitted nor denied, as Respondent does not have all the pertinent information upon which to base either an admission or a denial.

Paragraph No. 9: Denied.

Further affiant saith not.

GERALD BRIAN HOUGH, Respondent

W.Va. Bar #7724

601 Walnut Street; Glenville, WV 26351